



PATENTS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>Applicants:</b>	Hiroshi Miyajima, et al.	<b>Examiner:</b>	Unassigned
<b>Serial No:</b>	10/004,660	<b>Art Unit:</b>	Unassigned
<b>Filed:</b>	December 3, 2001	<b>Docket:</b>	15126
<b>For:</b>	MIRROR ROCKING MEMBER FOR OPTICAL DEFLECTOR	<b>Dated:</b>	April 19, 2002

Assistant Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

**STATEMENT PURSUANT TO 37 C.F.R. § 1.704(d)**

Sir:

I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

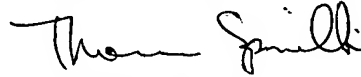
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on April 19, 2002.

Dated: April 19, 2002

  
Michelle Mustafa

Therefore, this Information Disclosure Statement cannot be considered a failure to engage in reasonable efforts to conclude prosecution of the application under paragraphs (c)(6), (c) (8), (c) (9), or (c) (10) of 37 C.F.R. § 1.704.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Thomas Spinelli".

Thomas Spinelli

Registration No.: 39,533

Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

TS:cm

2872  
#4  
S-2

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

Docket No.

15126

Under 37 CFR 1.97(b) or 1.97(c)

In Re Application of Hiroshi Miyajima, et al.

Serial No.

10/004,660

Filing Date

December 3, 2001

Examiner

Unassigned

Group Art Unit

Unassigned

Title: **MIRROR ROCKING MEMBER FOR OPTICAL DEFLECTOR**

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

APR 24 2002

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

15126

In Re Application Of Hiroshi Miyajima, et al.

Serial No.

10/004,660

Filing Date

December 3, 2001

Examiner

Unassigned

Group Art Unit

Unassigned

Title: MIRROR ROCKING MEMBER FOR OPTICAL DEFLECTOR

## Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

### Certificate of Transmission by Facsimile\*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. \_\_\_\_\_)

on \_\_\_\_\_  
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Signature

Typed or Printed Name of Person Signing Certificate

### Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on 4/19/2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Mishelle Mustafa

Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

*Thomas Spinelli*  
Signature

Dated: April 19, 2002

Thomas Spinelli  
Registration No.: 39,533

Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

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**Applicants:** Hiroshi Miyajima, et al. **Examiner:** Unassigned  
**Serial No:** 10/004,660 **Art Unit:** Unassigned  
**Filed:** December 3, 2001 **Docket:** 15126  
**For:** MIRROR ROCKING MEMBER **Dated:** April 19, 2002  
FOR OPTICAL DEFLECTOR

Assistant Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

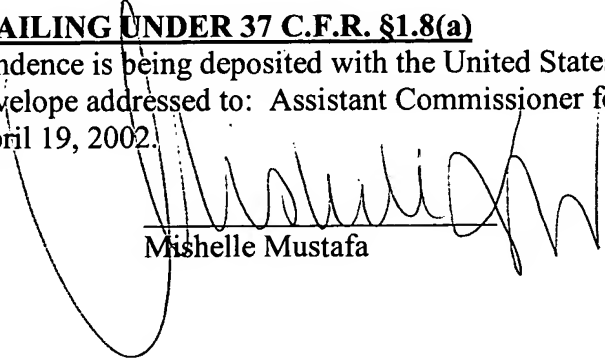
In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. European Patent Application No. 0 686 863 A1, dated December 13, 1995;
2. U.S. Patent No. 5,740,150, dated April 14, 1998, issued to Uchimaru et al.;
3. German Patent Application No. DE 41 00 358 A1, dated July 9, 1992; and
4. Asada, N., et al., "Silicon micro-optical scanner", Sensors and ActuatorsA, Elsevier Sequoia S.A. Lausanne, CH, Vol. 83, No. 1-3, May 2000, pp. 284-290.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on April 19, 2002.

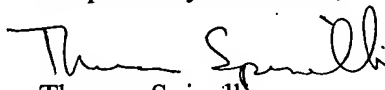
Dated: April 19, 2002

  
Michelle Mustafa

The references were cited in a Search Report received from the European Patent Office, dated April 3, 2002. Applicants are submitting copies of the above-cited references along with a copy of the Search Report. Please note that Japanese Publication No. 10-062709 was previously submitted in an Information Disclosure Statement filed December 3, 2001. We are now submitting the English language abstract thereof. The relevance of the above-identified references has been described in the Search Report.

Applicants are also submitting herewith a Statement Pursuant to 37 C.F.R. § 1.704(d). No fee is required.

Respectfully submitted,



Thomas Spinelli  
Registration No.: 39,533

Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

TS:cm  
Encl. Statement Pursuant to 37 C.F.R. § 1.704(d)